

**B. AMENDMENTS TO THE CLAIMS**

1. (Currently Amended): An investment management system in communication with at least one of a data vendor and an accounting system, comprising:

an application server, the application server having logic configured to perform at least one of the following upon a user request:

portfolio analysis of an investment portfolio, wherein the portfolio analysis includes aggregating a plurality of securities in the investment portfolio by security type;

portfolio monitoring of the investment portfolio;

trade calculation and rebalancing;

scenario analysis, wherein the scenario analysis includes an analysis of possible trades of at least one security;

reporting at least one holding of the investment portfolio; and

linking at least one publication to at least one holding of the

investment portfolio; and

a database server in communication with the application server.

2. (Original): The system of claim 1, further comprising a web browser in communication with the application server.

3. (Original): The system of claim 1, wherein the user is one of an investment professional and a customer of an investment professional.

4. (Original): The system of claim 1, wherein the database server includes at least one of a user database, an account database, a portfolio database, a

company/security database, and a publication database.

5. (Original): The system of claim 1, wherein the application server has logic that is configured to additionally perform at least one of the following upon a request by the user:

- optimization of a plurality of holdings of the investment portfolio;
- record an investment policy for at least one customer account;
- track the performance of at least one holding of the investment portfolio;
- perform base currency translations;
- enable block trades of a plurality of holdings in the investment portfolio; and
- track relationships among multiple customers.

6. (Currently Amended): The system of claim 1, wherein the application server has logic that is configured to perform at least one operation on a scheduled periodic basis upon a request by the user.

7. (Currently Amended): A method of managing a portfolio of asset holdings, comprising:

- receiving a request from a user to perform an operation on the portfolio;
- performing the operation on the portfolio, the operation selected from the group consisting of:
  - analyzing the portfolio, wherein analyzing the portfolio includes aggregating a plurality of assets in the portfolio by asset type;
  - monitoring the portfolio;
  - calculating the effects of trades on the portfolio;

rebalancing the portfolio;

analyzing trading scenarios related to the portfolio, wherein analyzing includes analyzing possible trades of at least one asset; and

linking publications and data to the portfolio;

wherein the operation is performed using data from at least one of an accounting system and a data vendor; and

outputting a report to the user.

8. (Original): The method of claim 7, further comprising authenticating the user.

9. (Original): The method of claim 7, further comprising updating data in a database.

10. (Currently Amended): The method of claim 7, wherein receiving a request from a user to perform an operation on the portfolio includes receiving a request from a user to perform an operation on the portfolio on a scheduled ~~periodic~~ basis.

11. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of responding to an account inquiry.

12. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of responding to an asset inquiry.

13. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of recording an investment policy.

14. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of comparing at least one holding of the portfolio

to a predefined criterion.

15. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of comparing at least one holding of the portfolio to a target allocation.

16. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of performing an optimization calculation on at least one holding of the portfolio.

17. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of publishing a document and searching a document.

18. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of performing relationship tracking for a plurality of customers of an investment professional.

19. (Currently Amended): The method of claim 7, wherein the operation is ~~selected from the group~~ additionally includes ~~consisting of~~ tracking a performance of at least one holding of the portfolio.

20. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of performing a currency translation.

21. (Original): The method of claim 7, wherein the operation is selected from the group additionally consisting of trading a block of holdings of the portfolio.

22. (Currently Amended): A computer-readable medium having stored thereon instructions which, when executed by a processor, cause the processor to:

receive a request from a user to perform an operation on a portfolio of  
asset holdings;

perform the operation on the portfolio, the operation selected from the  
group consisting of:

analyzing the portfolio, wherein analyzing the portfolio includes  
aggregating a plurality of assets in the portfolio by asset type;

monitoring the portfolio;

calculating the effects of trades on the portfolio;

rebalancing the portfolio;

analyzing trading scenarios related to the portfolio, wherein analyzing  
includes analyzing possible trades of at least one asset; and

linking publications and data to the portfolio; and

output a report to the user.

23. (Original): The computer-readable medium of claim 22, having stored  
thereon additional instructions which, when executed by the processor, cause the  
processor to authenticate the user.